

REVOCATION OF POWER OF ATTORNEY and APPOINTMENT OF NEW POWER OF ATTORNEY	Application Number	09/762,294
	Filing Date	April 2, 2001
	First Named Inventor	Kang, C.
	Art Unit	1648
	Examiner Name	Parkin, J.
	Attorney Docket Number	UWA-011.01

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

☐ A Power of Attorney or Authorization of Agent is submitted herewith.

OR

☒ I hereby appoint the practitioners at Customer Number : 25181

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with Customer Number: 25181

OR

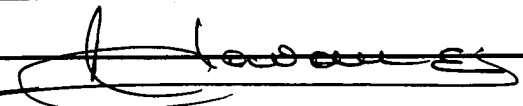
<input type="checkbox"/> Firm or Individual Name					
Address					
Address					
City					
Country		State		ZIP	
Telephone		Fax			

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

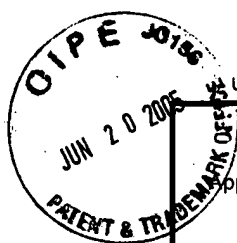
Name	Alex Navarre, Ph.D., MBA				
Signature	 Director, Technology Transfer				
Date	24 / 04 / 2005		Telephone	519-661-4183	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: The University of Western Ontario

Application No./Patent No.: 09/762,294

Filed/Issue Date: April 2, 2001

Entitled: HIV Vaccine

The University of Western Ontario, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title, and interest

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____

To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____

To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____

To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

24/04/2005

Date

Alex Navarre, Ph.D., MBA
Director, Technology Transfer

Printed or printed name

Telephone Number


Signature

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

HIV Vaccine

Application No: 09/762,294

Filed: April 2, 2001

For: Kang et al.

Examiner: Parkin, Jeffrey S.

Art Unit: 1648

Attorney Document No. UWA-011.01

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, "Post Office to Addressee", in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 16, 2005.

Shirine Darvish

CHANGE OF DOCKET NUMBER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Effective immediately, please change the Docket Number for this application to:

UWA-011.01

Enclosed please find a copy of an original, executed Revocation of Power of Attorney and Appointment of New Power of Attorney form, and an original, executed Statement Under 37 CFR 3.73(b).

Date: June 16, 2005

Customer No: 25181

Patent Group

Foley Hoag LLP

Seaport World Trade Center West

155 Seaport Blvd.

Boston, MA 02210-2600

Respectfully Submitted,

Jennifer A. Zarutskie, Ph.D.

Reg. No. 50,558

Tel. (617) 832-1000

Fax. (617) 832-7000



JOB STATUS REPORT

TIME : 05/05/2005 13:16
NAME : FOLEY HOAG LLP
FAX# : 617-832-7000
TEL# :

DATE, TIME
FAX NO./NAME
DURATION
PAGE(S)
RESULT
MODE

05/05 13:14
917033065995
00:01:57
06
OK
STANDARD
ECM



**FOLEY
HOAG** LLP
ATTORNEYS AT LAW

*JAF - status as of
6/16/05 ->
assignment branch
at this stage is
processing assignments
received the week of
April 25; will have
few more weeks
until they
Fax get to this*

Date: May 5, 2005

To: U.S. Patent and Trademark
Assignment Branch

Fax #: 703-306-5995

Ph # 703-308-9723

Client Matter#: 23433-1101

From: Jennifer A. Zarutskie

Sender's Number: 1754

User #: 8809

Total Pages Sent (Including Cover Sheet): 6

Office: Boston

Message

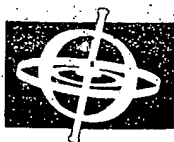
Re: U.S. Patent Application Serial No. 09/762,294
Filed: April 2, 2001
Inventors: Kang et al.
Title: HIV Vaccine
Our Ref.: UWA-011.01 (23433-1101)

Certificate of Transmission under 37 C.F.R. § 1.8

I hereby certify that this correspondence, which includes the items listed below, is being facsimile transmitted to the Patent and Trademark Office on May 5, 2005 to telephone number 703-306-5995.

Wendy Herron
Wendy Herron

Transmitted herewith are the following documents:



**FOLEY
HOAG** LLP
ATTORNEYS AT LAW



Fax

Date: May 5, 2005

To: U.S. Patent and Trademark
Assignment Branch

Fax #: 703-306-5995

Client Matter#: 23433-1101

From: Jennifer A. Zarutskie

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Re: U.S. Patent Application Serial No. 09/762,294

Filed: April 2, 2001

Inventors: Kang et al.

Title: HIV Vaccine

Our Ref.: UWA-011.01 (23433-1101)

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I hereby certify that this correspondence, which includes the items listed below, is being facsimile transmitted to the Patent and Trademark Office on May 5, 2005 to telephone number 703-306-5995.

Wendy Herron

Wendy Herron

Transmitted herewith are the following documents:

Assignment Cover Sheet (1 pg);

Executed Assignment (4 pgs); and

This fax cover sheet.

IMPORTANT – PLEASE READ

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENTS NAMED ABOVE. THIS MESSAGE MAY BE AN ATTORNEY-CLIENT COMMUNICATION AND AS SUCH IS PRIVILEGED AND CONFIDENTIAL. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR AN AGENT RESPONSIBLE FOR DELIVERING IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR, AND THAT ANY REVIEW, DISSEMINATION, DISTRIBUTION OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL. THANK YOU.

IF THERE ARE ANY PROBLEMS WITH THIS TRANSMISSION PLEASE TELEPHONE THE SENDER.

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patents and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Chil-Yong Kang

Yan Li

Execution Date(s) April 26, 2005

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: The University of Western Ontario

Street Address: 1151 Richmond Street, Suite 328

City: London

Province: Ontario

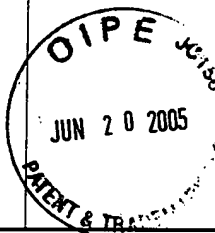
Country: Canada

Zip: N6A 5B8

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

Additional Name(s) & address(es) attached? ☐ Yes ☒ No



4. Application number(s) or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

09/762,294

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Jennifer A. Zarutskie

Internal Address: Foley Hoag LLP

Seaport World Trade Center West

Street Address: 155 Seaport Boulevard

City: Boston

State: MA Zip: 02210-2600

Phone Number: 617 832-1000

Fax Number: 617-832-7000

Email Address: jzarutskie@foleyhoag.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 06-1448, Ref. UWA-

011.01

Authorized User Name Jennifer A. Zarutskie

9. Signature :

May 5, 2005

Signature

Date

Jennifer A. Zarutskie

Total number of pages including cover sheet, attachments, and documents

6

Name of Person Signing

ASSIGNMENT

WHEREAS, we, **Chil-Yong Kang**, of 111 Glenridge Crescent, London, Ontario, Canada N6G 4X9, and **Yan Li**, of 59 Forestgate Avenue, Winnipeg, Manitoba, Canada R3P 2L3, have developed certain inventions described in a patent application entitled **HIV Vaccine**, filed on **April 2, 2001** and having U.S. Application No. **09/762,294**, having full right to convey their entire right, title and interest, both legal and equitable, in and to said inventions, free from all prior assignments, agreements, licenses, mortgages, security interests, or other encumbrances whatsoever; and

WHEREAS, **The University of Western Ontario** ("Assignee"), of 1151 Richmond Street, Suite 328, London, Ontario, Canada N6A 5B8, a corporation of the Province of Ontario, is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged by the undersigned, the undersigned do hereby sell, assign, transfer and set over unto said Assignee, its successors and assigns, their entire right, title and interest throughout the world in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any applications to which the aforesaid application claims priority; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications and claim priority under the provisions of any international convention or treaty; also the entire right, title and interest throughout the world in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said Assignee or its successors and assigns.

The undersigned further agree, without any further payment or compensation by said Assignee or its successors and assigns, to communicate to said Assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said Assignee, its successors or assigns and nominees to secure, obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned have hereunto set their hands and seals on the date after their signatures.

Chil-Yong Kang
Date April 26, 2005

WITNESSED BY:

Theresa Russelo

Print Name

Theresa Russelo

Date

April 26, 2005

WITNESSED BY:

Yan Li

Date

Print Name _____

Date _____

ASSIGNMENT

WHEREAS, we, **Chil-Yong Kang**, of 111 Glenridge Crescent, London, Ontario, Canada N6G 4X9, and **Yan Li**, of 59 Forestgate Avenue, Winnipeg, Manitoba, Canada R3P 2L3, have developed certain inventions described in a patent application entitled **HIV Vaccine**, filed on **April 2, 2001** and having U.S. Application No. **09/762,294**, having full right to convey their entire right, title and interest, both legal and equitable, in and to said inventions, free from all prior assignments, agreements, licenses, mortgages, security interests, or other encumbrances whatsoever; and

WHEREAS, **The University of Western Ontario** ("Assignee"), of 1151 Richmond Street, Suite 328, London, Ontario, Canada N6A 5B8, a corporation of the Province of Ontario, is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged by the undersigned, the undersigned do hereby sell, assign, transfer and set over unto said Assignee, its successors and assigns, their entire right, title and interest throughout the world in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any applications to which the aforesaid application claims priority; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications and claim priority under the provisions of any international convention or treaty; also the entire right, title and interest throughout the world in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said Assignee or its successors and assigns.

The undersigned further agree, without any further payment or compensation by said Assignee or its successors and assigns, to communicate to said Assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said Assignee, its successors or assigns and nominees to secure, obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned have hereunto set their hands and seals
on the date after their signatures.

WITNESSED BY:

Chil-Yong Kang

Date

Print Name

Date

Yan Li

Date

WITNESSED BY:

Print Name

Date